

UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS ORIGINALLY FILED

PLOURDE

ART GROUP:

2614

10/010,781

EXAMINER:

UNKNOWN

FILED:

DECEMBER 5, 2001

DOCKET NO.:

A-7251

TITLE:

APPLICATION MANAGEMENT AND INTERFACE FOR CLUSTER CONTROL OF TIME SHIFT BUFFER

JUNE 3, 2002

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Sir:

Transmitted herewith for filing in the above-identified patent application, please find:

3 Page Supplemental Information Disclosure Statement

Form PTO-1449

3.

Copy of Cited Art

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Technology Center 2600

In the event a fee is required, the Commissioner is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to our Deposit Account No. 19-0761. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted:

SEND CORRESPONDENCE TO:

KENNETH M. MASSARONI

Scientific-Atlanta, Inc.

Intellectual Property Dept. MS 4.3.518

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

Attorney of Record

Reg. No.: 33,015

Phone: (770) 236-4717

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

> Commissioner for Patents Washington, DC 20231

on JUNE 3, 2002.

Docket No.: A-7251



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Washington,	D.C.	20231

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Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. **Sechnology Center 2600** 1.98, and specifically:

under 37 CFR 1.97(b), or (within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
under 37 CFR 1.97(c) together with either a: Statement Under 37 C.F.R. 1.97(e), or a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
under 37 CFR 1.97(d) together with a: Statement under 37 CFR 1.97(e), and a \$180.00 petition fee set forth in 37 CFR 1.17(i)(1). (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge \$0.00 to deposit account 19-0761. At any time during the pendency of this application, please charge any fees required to Deposit Account 19-0761 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 19-0761.

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

The Cited Art includes:

- 1. U.S. 4,706,121
- 2. U.S. 4,908,713
- 3. U.S. 4,963,994

Docket No.: A-7251

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- 4. U.S. 5,253,066
- 5. U.S. 5,293,357
- 6. U.S. 5,477,262
- 7. U.S. 5,479,268
- 8. U.S. 5,508,815
- 9. U.S. 5,568,272
- 10. U.S. 5,809,204
- 11. U.S. 5,915,068
- 12. Scientific-Atlanta, Inc. Pending Application Serial #10/010,270, Atty. Docket #A-7182, filed December 6, 2001, Title: "Controlling Substantially Constant Buffer Capacity for Personal Video Recording With Consistent User Interface of Available Disk Space," Inventors: Harold J. Plourde, Jr. and Arturo A. Rodriguez
- 13. Scientific-Atlanta, Inc. Pending Application Serial #10/008,624, Atty. Docket #A-7313, filed December 6, 2001, Title: "Converting Time-Shift Buffering for Personal Video Recording Into Permanent Recordings," Inventors: Harold J. Plourde, Jr. and Arturo A. Rodriguez
- Scientific-Atlanta, Inc. Pending Application Serial #10/008,439, Atty. Docket #A-7314, filed December 6, 2001, Title: "Dividing and Managing Time-Shift Buffering Into Program Specific Segments Based on Defined Durations," Inventors: Harold J. Plourde, Jr. and Arturo A. Rodriguez
- Scientific-Atlanta, Inc. Pending Application Serial #10/005,628, Atty. Docket #A-7446, filed December 5, 2001, Title: "Disk Driver Cluster Management of Time Shift Buffer with File Allocation Table Structure," Inventor: Harold J. Plourde, Jr.
- 16. Scientific-Atlanta, Inc. Pending Application Serial #10/015,349, Atty. Docket #A-7279, filed December 11, 2001, Title: "Controlling Personal Video Recording Functions from Interactive Television," Inventors: Mark E. Schutte and Valerie G. Gutknecht
- 17. Scientific-Atlanta, Inc. Pending Application Serial #10/034,028, Atty. Docket #A-7316, filed December 20, 2001, Title: "Program Position User Interface for Personal Video Recording Time Shift Buffer," Inventors: Dariusz S. Kaminski and Robert O. Banker
- 18. Scientific-Atlanta, Inc. Pending Application Serial #10/102,043, Atty. Docket #A-7484, filed March 20, 2002, Title: "Composite Buffering," Inventors: John Eric West and Arturo A. Rodriguez
- Scientific-Atlanta, Inc. Pending Application Serial #10/143,123, Atty. Docket #A-7315, filed May 10, 2002, Title: "Channel Buffering and Display Management System for Multi-Tuner Set-Top Box," Inventors: Arturo A. Rodriguez and Ramesh Nallur
- 20. Scientific-Atlanta, Inc. Pending Application Serial #10/143,647, Atty. Docket #A-8142, filed May 10, 2002, Title: "Managing Time Shift Buffers," Inventors: Dariusz S. Kaminski, Arturo A. Rodriguez, Robert O. Banker and Valerie G. Gutknecht

A concise explanation of the relevance of foreign language patents, foreign language
publications and other foreign language information listed on PTO Form 1449, as presently
understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the
content is given on the attached sheet, or where a foreign language patent is cited in a search
report or other action by a foreign patent office in a counterpart foreign application, an English
language version of the search report or action which indicates the degree of relevance found by
the foreign office is listed on the form PTO 1449 and is enclosed herewith.

Docket No.: A-7251

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

By:

SEND CORRESPONDENCE TO:

Scientific-Atlanta, Inc.

Intellectual Property Dept. MS 4.3.518

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

KENNETH M. MASSARONI

Mrs.

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Commissioner for Patents Washington, DC 20231

on JUNE 3, 2002.

Marvellen Licker

ORIGINALLY FILED Page 1 of 2 U.S. Dept. of Commerce, Patent and Trademarks Form PTO-144 LIST OF ART CITED BY APPLICANT (Use several sheets if necessary Attorney Downer Serial No. Filing Date A-7251
Applicant: 10/010,781 **DECEMBER 5, 2001** Group RECEIVED 2614 JUN 1 8 2002 U.S. PATENT DOCUMENTS Subclassinplogy Center 2600 Document No. Exami Date Name Class ner's Initial AA 4,706,121 11/10/87 Young 358 142 AB 4,908,713 3/13/90 Levine 358 335 4,963,994 AC 10/16/90 Levine 358 335 AD 5,253,066 10/12/93 Vogel 358 188 **AE** 5,293,357 3/8/94 Hallenbeck 348 734 AF 5,477,262 12/19/95 Banker et al. 7 348 AG 5,479,268 12/26/95 Young et al 358 335 ΑH 5,508,815 4/16/96 Levine 358 335 ΑI 5.568,272 10/22/96 Levine 386 48 AJ 5,809,204 9/15/98 Young et al 386 83 AK 5,915,068 6/22/99 Levine 386 83 FOREIGN PATENT DOCUMENTS Document No. Date Country Class Subclass **Translation** AL**AM** OTHER PRIOR ART (Including Author Title, Date, Pertinent Pages, Etc.) Scientific-Atlanta, Inc. Pending Application Serial #10/010,270, Atty. Docket #A-AN 7182, filed December 6, 2001, Title: "Controlling Substantially Constant Buffer Capacity for Personal Video Recording With Consistent User Interface of Available Disk Space," Inventors: Harold J. Plourde, Jr. and Arturo A. Rodriguez Scientific-Atlanta, Inc. Pending Application Serial #10/008,624, Atty. Docket #A-AO 7313, filed December 6, 2001, Title: "Converting Time-Shift Buffering for Personal Video Recording Into Permanent Recordings," Inventors: Harold J. Plourde, Jr. and Arturo A. Rodriguez Scientific-Atlanta, Inc. Pending Application Serial #10/008,439, Atty. Docket #A-AP 7314, filed December 6, 2001, Title: "Dividing and Managing Time-Shift Buffering Into Program Specific Segments Based on Defined Durations," Inventors: Harold J. Plourde, Jr. and Arturo A. Rodriguez Scientific-Atlanta, Inc. Pending Application Serial #10/005,628, Atty. Docket #A-AQ 7446, filed December 5, 2001, Title: "Disk Driver Cluster Management of Time Shift Buffer with File Allocation Table Structure," Inventor: Harold J. Plourde, Jr.

EXAMINER DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance. Include copy of this form with next communication to Applicant.

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AZ Scientific-Atlanta, Inc. Pending Application Serial #10/034,0	28, Atty. D	Oocket #A-			
7316, filed December 20, 2001, Title: "Program Position Use					
Personal Video Recording Time Shift Buffer," Inventors: Dan	riusz S. Ka	minski and			
Robert O. Banker AAA Scientific-Atlanta, Inc. Pending Application Serial #10/102,0-	1/2 Atts/ [Acalest #A			
7484, filed March 20, 2002, Title: "Composite Buffering," In	ventors: Jo	ocket #A- hn Eric			
West and Arturo A. Rodriguez					
ABB Scientific-Atlanta, Inc. Pending Application Serial #10/143,12					
7315, filed May 10, 2002, Title: "Channel Buffering and Disp					
System for Multi-Tuner Set-Top Box," Inventors: Arturo A. F. Nallur	Rodriguez a	and Ramesh			
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8142, filed May 10, 2002, Title: "Managing Time Shift Buffe	rs," Invent	ors:			
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EXAMINER DATE CONSIDERED:					
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